

ERISA Services, Inc.

Helping East Tennesseans Become Retirement Ready

Retirement Plan Design and Administrative Expertise



Do you want the peace of mind knowing that your third party administrator (TPA) stays abreast of all legal, legislative, and regulatory changes that can affect your retirement plan?

Do you need an expert to help your plan stay in compliance?

Why choose ERISA Services, Inc.?

We believe your company's retirement plan can achieve your goals of helping your employees be retirement ready, accumulate wealth, and save taxes.

Your team at ERISA Services, Inc. is dedicated to the success and well-being of your retirement plan. Each client has different priorities, goals, and objectives for their retirement plan. We work with you to understand your company, employees, and employee benefits plan's objectives in order to custom design your plan to achieve these goals.

At ERISA Services, Inc., we believe your company's retirement plan can achieve your goals of helping your employees be retirement ready, accumulate wealth, and save taxes. Our consultants and relationship managers establish relationships with you to provide personalized service to your plan and assist employees to achieve their goals.

The retirement plan industry is exceptionally dynamic. You need to understand the costs of your plan as they relate to the services you receive. ERISA Services, Inc. believes in a straightforward, easy-to-understand approach to fee disclosure. Therefore, we work with you to make sure you understand and are comfortable with your plan's service model and cost structure.

With an increase in Internal Revenue Service (IRS) retirement plan audits and Department of Labor (DOL) investigations, you need the assurance of your plan's compliance on an annual basis. We ensure your plan's compliance with the completion of your annual plan valuation, which will be audit-ready should you ever encounter this scenario.

Your partnership is important to us. You will not be a number to us because our commitment is to the personal, thoughtful, and accurate servicing of your plan. We will stand behind and honor this commitment to you!



About ERISA Services, Inc.

Our commitment is to the personal, thoughtful, and accurate servicing of our clients.

ERISA Services, Inc. was founded in 1981 to specifically focus on retirement plan design and tax-advantaged savings plans for small to mid-sized businesses.

With over 34 years in business and more than 500 combined years of personnel tenure in the retirement and pension industry, ERISA Services, Inc. represents clients spanning various industries including: investment companies, financial groups, manufacturing companies, medical and other professional practices, hospitals and other health organizations, traditional family-owned businesses, and sole proprietorships.*

ERISA Services, Inc. is a fee-based TPA organization that does not provide investment advice nor sell investments. Administering more than 900 plans,* and using state-of-the-art technology to process and conduct business, our commitment is to the personal, thoughtful, and accurate servicing of our clients.

The right plan design can increase tax savings, reduce costs, boost participation, and enhance retirement readiness.

Flexible, Cost-Effective Services for Your Business

We are committed to providing a full spectrum of retirement planning services that are flexible and customized for each client. This is why we are able to provide the exact level of service for your business needs.

The professionals at ERISA Services, Inc. offer unparalleled service with the hometown feeling we so proudly associate with our company. Consultation and administration services include but are not limited to:

- Plan design, consulting, and recommendations
- Plan document and Form 5500 preparation
- Maintenance of participants' service and relative employment records
- Communication to plan administrators of participants' account balances
- Allocation of plan contributions, earnings, and forfeitures
- Trust account reconciliation and annual reporting
- Determination of employer contributions
- Distribution and loan processing, including Required Minimum Distribution monitoring
- Annual compliance testing
- IRS and DOL Audits

TYPES OF PLANS WE ADMINISTER

We administer a range of plans, including:

- 401(k)
- Profit Sharing
- Money Purchase
- Defined Benefit Plans
- Cash Balance Plans
- 403(b) Plans
- 457 Plans
- Employee Stock Option Plans (ESOPs)
- Multiple Employer Plans (MEPs)
- Solo(k)/One-Person Plans

We work with you to make sure you understand and are comfortable with your plan's service model and cost structure.

Meet the Management Team

DALE HORST
CHIEF EXECUTIVE OFFICER

Dale is the founder and owner of ERISA Services, Inc. Dale graduated from the University of Tennessee with a degree in education. After teaching for two years, he entered the insurance and investment business and from there went into his current position. He is a frequent speaker on subjects related to qualified retirement plans. Dale and his wife Teresa live in Loudon County. Dale and Teresa both were members of the Pride of the Southland Marching Band where Dale was the drum major for three seasons. They have two grown children: Tommy, who works with Dale at ERISA Services, and Tiffany, who holds a sales position in Knoxville, Tennessee.



TOMMY HORST
PRESIDENT

Tommy grew up in the family business, ERISA Services, Inc. As of October 1, 2015, ERISA Services celebrated its 34th year in business with Tommy at ERISA for over 15 years. Tommy graduated from the University of Tennessee in Knoxville with a major in accounting. While at UT, he was the drum major for the Pride of the Southland Marching Band for two seasons. While in the band, he met his wife, Jessica, who was the head majorette for two years. Jessica also works at ERISA Services as the controller. Tommy and Jessica have a daughter, Presley, and son, Lincoln, who will without a doubt go to the University of Tennessee, march in the Pride of the Southland Marching Band, and work at ERISA Services at some point in time.





Dale Horst



Tommy Horst



Justin Bell



Casey Anderson



Jo McCullar



Kathy Lemieux



Jackie Battles



Mel Brannan



Mark Mathis



Gail Canupp



Deanna Tipton



Brenda Lounsbury



Kathe Willings



Jessica Horst

To experience one-of-a-kind retirement planning services,
we invite you to contact us personally.

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